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Dated: October 3, 2006

Signature: *Janet Mollrah*
(Janet Mollrah)**OCT 03 2006**Docket No.: 476172000100
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:
Calvin B. GRIGSBY

Application No.: 09/896,831

Confirmation No.: 5861

Filed: June 28, 2001

Art Unit: 3628

For: METHOD AND APPARATUS FOR
OFFERING, PRICING, AND SELLING
SECURITIES OVER A NETWORK

Examiner: C. B. Graham

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

This is in response to the non-final Office Action dated May 3, 2006 (Paper No. 20050609), for which a response was due on August 3, 2006. Filed herewith is a Petition and fee for a two-month extension of time, thereby extending the deadline for response to October 3, 2006. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the remarks presented herein are respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 11 of this paper.

sf-2132609